

| Risk Register | | Date: December 2022 | | | Completed By: Risk Management Group | | | |
|---------------|---|---------------------|-------------|------------|---|-----------------------------|--|---|
| Risk Owner | Risk Area | Risk Likelihood | Risk Impact | Risk Score | Potential Outcome/consequences | Who is at Risk | Mitigation/Commentary/Control | New Action/Update |
| Corporate | Loss of key staff, recruitment to key roles | 4 | 4 | 16 | Service failure may occur without key staff. Potential breach in legislation if sufficiently trained staff are not in certain roles | Public, GBC staff, Partners | Succession Planning within teams. HR policies aimed at recruitment and retention. Links with PCC temporary register and Comensura. Developing use of Apprentices. | Senior Management Structure review being undertaken which will consider these risks |
| Corporate | Exposure to system failure, including support expiry, single points of failure, cyber-security and system recovery. | 3 | 5 | 15 | Service failure, loss of data, fines from ICO. Unable to communicate with stakeholders causing significant risks | Public, GBC staff, Partners | Disaster recovery plans in place. Contract with external party for recovery of systems should data centre or internet connection be lost. | Structure review in addition to current measures |
| Corporate | Addressing underlying budget pressures and delivering effective and sustainable services | 5 | 4 | 20 | Reduction in services, reputational, not meeting objectives | Public, GBC staff, Partners | Spend to save initiatives. Taking a more commercial approach to generate income. Strong budget monitoring and reporting | Budget review currently being undertaken, external factors have impacted forecasting i.e energy and staff pay. Asset review has been conducted which may aid in some budget pressured areas |
| Corporate | Failure to ensure the Borough Council's information is held and protected in line with Information Governance policies and procedures. | 2 | 4 | 8 | Fines, reputational, litigation | Public, GBC staff, Partners | Ongoing actions being implemented following an internal audit review. Corporate information governance panel providing ownership at a corporate level to ensure information management is an ongoing practice | N/A |
| Corporate | Achieving value for money via short and long time purchasing Procurement | 3 | 3 | 9 | Loss of funds, reduction in services, litigation, reputational | Public, GBC staff, Partners | Contract procedure rules have been redrafted to enhance the Council's procurement regulations and increase compliance. Further training will be provided to all relevant procuring staff. Ongoing compliance checks and integration of systems is being investigated | Recent internal audit review has shown a strong increase in compliance compared to previous results. |
| Corporate | Exposure to national level political and legislative change including impact on scope of duties, powers, responsibilities and service demand. | 4 | 3 | 12 | Financial pressures to adapt to any changes. Potential legislative breaches during any transitional periods | Public, GBC staff, Partners | Horizon scanning. Communication with relevant stakeholders | Instability in Central Government and budgeting |
| Corporate | Major incident disruption | 2 | 4 | 8 | Major service failure | Public, GBC staff, Partners | Business Continuity Plans and annual desktop exercise. Lessons learnt from the Covid 19 Pandemic. | Business continuity plans are in need of a refresh however structure review will be completed prior to this being updated |

| Risk Owner | Risk Area | Risk Likelihood | Risk Impact | Risk Score | Potential Outcome/consequences | Who is at Risk | Mitigation/Commentary/Control | New Action/Update |
|------------|---|-----------------|-------------|------------|---|-----------------------------|---|---|
| Corporate | Project Delivery | 3 | 4 | 12 | Delays in project can affect the service while also creating a financial pressure to deal with issues arising. | Public, GBC staff, Partners | Project Management skills have been acquired via employment. Further plans to develop a corporate project management approach which will be implemented and staff suitably trained. | Employee now in post and training underway across Council in project management |
| Corporate | Major contract /Partnership Management / Performance / Supply | 2 | 4 | 8 | Services provided may not be at level expected causing issues for GBC stakeholders. Could result in reputational issues from the public. Financial risk may also arise to rectify issues which have not been managed in key contracts | Public, GBC staff, Partners | Quarterly meetings to discuss performance and finance to identify potential issues early. Sound governance arrangements. | Further training to be considered on contract management across the Council's contract managers |
| Corporate | Devolution / Local Government Reorganisation | 1 | 5 | 5 | Uncertainty in planning, managing a significant change while maintaining services | Public, GBC staff, Partners | Horizon scanning. Communication with relevant stakeholders | N/A |
| Corporate | Property Management | 3 | 4 | 12 | Non utilisation of properties may not generate income for council as expected resulting in a financial pressures. Mismanagement may also result in higher maintenance costs causing a greater financial pressure. Concerns with management and safety | Public, GBC staff, Partners | Engaged with PCC regarding purchasing specialist help with regards to management and expansion of the current property portfolio | Review has been completed with actions being taken forward while other proposals are considered. |
| Corporate | Flooding | 4 | 4 | 16 | Environmental risks. Could cause financial pressures to rectify or manage. Reputational risk | Public, GBC staff, Partners | GBC work with Coastal Partnership on a number of initiatives regarding flood defences across the borough | Currently there is insufficient funding to finish the project due to the increase in costs. Ongoing dialogue with the grant provider to meet this shortfall |
| Corporate | Cost of Living Crisis | 5 | 3 | 15 | Council budget pressures from energy. Greater demand for services, impact and pressures on staff. Fraud risk increases | Public, GBC staff, Partners | Council is liaising with partners to understand the offers available so Council can best advise public enquiries. Housing department developing support where applicable. Internal comms sent to staff offering tips and ways to manage financial pressures | N/A |