

Risk Register		Section: Strategic Risk Register		August 2024		Completed By: Corporate Governance Group									
Risk Code	Risk Owner	Risk Area	Origin of the Risk	Risk Likelihood	Risk Impact	Risk Score	Potential Outcome/consequences	Timing	Who/What is at Risk	Mitigation/Commentary/Control	Residual Likelihood	Residual Impact	Residual Risk	Further Comments	Status
RISK11	Housing	Property Management including social housing regulation compliance, commercial properties and overall asset management	Property	4	4	16	Non utilisation of properties may not generate income for council as expected resulting in a financial pressures. Mismanagement may also result in higher maintenance costs causing a greater financial pressure. Concerns with management and safety of commercial properties. New Social Housing Regulation adding in substantial additional work re managing property portfolio	Ongoing	Public, GBC staff, Partners	Recruitment preparations underway to replace Head of Housing and Head of Commercial Property. Business Plans being drafted to outline proposals to mitigate risks identified. Working with PCC on ensuring compliance with Social Housing Regulation	4	4	16		Ongoing
RISK06	Corporate	Exposure to national level political and legislative change including impact on scope of duties, powers, responsibilities and service demand.	Corporate Governance Group	5	3	15	Financial pressures to adapt to any changes. Potential legislative breaches during any transitional periods	Ongoing	Public, GBC staff, Partners	Horizon scanning. Communication with relevant stakeholders. Relevant staff attending forums/seminars where applicable. Links with LGA now in place	5	3	15		Ongoing
RISK01	Corporate	Loss of key staff, recruitment to key roles	Corporate Governance Group	4	5	20	Service failure may occur without key staff. Potential breach in legislation if sufficiently trained staff are not in certain roles	Ongoing	Public, GBC staff, Partners	Succession Planning within teams. HR policies aimed at recruitment and retention. Links with PCC temporary register and Comensura. Developing use of Apprentices. Business Plans being drafted which will include resource. PDR process to be used to identify training needs. Skills Gap Analysis within sections	3	4	12		Ongoing
RISK02	Corporate	Exposure to system failure, including support expiry, single points of failure, cyber-security and system recovery.	IT	3	5	15	Service failure, loss of data, fines from ICO. Unable to communicate with stakeholders causing significant risks	Ongoing	Public, GBC staff, Partners	Disaster recovery plans in place. Programme devised to begin migration to the cloud for key systems. Staggered approach beginning in 2024. Issues highlighted in audits to be actioned to further mitigate risks identified	3	4	12		Ongoing
RISK03	Corporate	Addressing underlying budget pressures and delivering effective and sustainable services	Finance	4	3	12	Reduction in services, reputational, not meeting objectives	Ongoing	Public, GBC staff, Partners	Spend to save initiatives. Taking a more commercial approach to generate income. Strong budget monitoring and reporting. Vacant post review underway. Savings targets to be set on an annual basis	4	3	12		Ongoing
RISK04	Corporate	Failure to ensure the Borough Council's information is held and protected in line with Information Governance policies and procedures.	Senior Information Risk Owner	3	4	12	Fines, reputational, litigation	Ongoing	Public, GBC staff, Partners	Ongoing actions being implemented following an internal audit review. Report being drafted on overall position re GDPR with discussion over remedial actions to follow at Corporate Governance	3	4	12		Ongoing
RISK07	Corporate	Major incident disruption	Emergency Planning Manager	3	5	15	Major service failure	Ongoing	Public, GBC staff, Partners	Business Continuity Plans and annual desktop exercise take place to prepare as best as possible. Lessons learnt from the Covid 19 Pandemic. Community Resilience work underway	3	4	12		Ongoing
RISK10	Corporate	Devolution / Local Government Reorganisation	Corporate Governance Group	3	4	12	Uncertainty in planning, managing a significant change while maintaining services	Ongoing	Public, GBC staff, Partners	Horizon scanning. Communication with relevant stakeholders. Relevant staff attending forums/seminars where applicable. Links with LGA now in place	3	4	12		Ongoing
RISK15	Major Projects - Depot	Viability of scheme and potential loss of One Public Estate funding	Project Manager	4	3	12	Loss of One Public Estate funding if scheme is not deemed viable and action taken to meet deadlines. Potential additional costs required to maintain and upgrade current depot if scheme not deemed viable	Ongoing	Financial Risk - Loss of funding and potential additional maintenance costs of current depot. Reputational Risk - not utilising funding for development of new homes	Report is being produced which outlines the 3 key project priorities for the council with an options appraisal for all to allow for reasoned decision making. GBC in regular dialogue re funding and this hasn't been clawed back to date. Awaiting results of above noted report.	4	3	12		Ongoing
RISK08	Corporate	Project Delivery	Corporate Governance Group	3	4	12	Delays in project can affect the service while also creating a financial pressure to deal with issues arising.	Ongoing	Public, GBC staff, Partners	Project Management function has been created and training provided. Corporate Project Management approach has been developed to be used corporately. Further training to be undertaken to ensure the approach is embedded and maintained.	2	4	8		Ongoing
RISK09	Corporate	Major contract /Partnership Management / Performance / Supply	Corporate Governance Group	3	4	12	Services provided may not be at level expected or may no longer be provided thus causing issues for GBC stakeholders. Could result in reputational issues from the public. Financial risk may also arise to rectify issues which have not been managed in key contracts	Ongoing	Public, GBC staff, Partners	Quarterly meetings to discuss performance and finance to identify potential issues early. Audit report on Contract Management highlighted weaknesses which are now being addressed. Clarity and transparency regarding the ongoing agreement with PCC being actioned	2	4	8		Ongoing
RISK14	Major Projects - Criterion	Unable to utilise building as originally intended	Project Manager	3	2	6	Outline business case has been developed however until full business case is approved there is a risk the project will not be delivered. Fall back plan to resell the building may result in a financial loss.	Ongoing	Financial risk - Funding is required to support the capital costs of the project	Support from PCC bid manager to source funding opportunities. Meeting and site visits held with Arts Council & HCT to discuss grant opportunities and use of the building. Business case being developed which will also inform future decisions and opportunities. Viability study commissioned and currently underway	3	2	6		Ongoing
RISK16	Corporate	Health and Safety assets and employee activities	Corporate Governance Group	4	4	16	Health and safety risks to staff through a lack of complete and effective risk assessments on countryside activities. Health and Safety risks to members of the public due to incomplete or ineffective Fire Risk Assessments in council owned buildings. Litigation risk should an incident occur. Reputational risks would also be significant. Risk some events may be cancelled due to activities in Countryside being suspended	Immediate	Public, GBC staff, Partners	Fire Risk Assessment (FRA) data has now been collated and significant work undertaken to resolve outstanding recommendations. All highest priority recommendations have now been actioned. Action plan in place with contractor to resolve the outstanding medium and low risk recommendations. Electrical and gas checks also improving in relation to completion. Internal Audit currently undertaking a follow up audit review on the above to verify position.	2	2	4		Ongoing